

AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court _____ for the District of Delaware _____ on the following

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.);

DOCKET NO. 16-40	DATE FILED 1/26/2016	U.S. DISTRICT COURT for the District of Delaware
PLAINTIFF NOVARTIS PHARMACEUTICALS CORPORATION and NOVARTIS AG		DEFENDANT WOCKHARDT BIO AG and WOCKHARDT USA LLC
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 6,894,051	5/17/2005	Novartis AG
2 RE43,932	1/15/2013	Novartis AG
3		
4		
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1			
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3			
4			
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT Stipulation of Dismissal

CLERK John A. Cerio	(BY) DEPUTY CLERK	DATE 12-22-2016
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Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF DELAWARE**

NOVARTIS PHARMACEUTICALS)
CORPORATION and NOVARTIS AG,)
)
Plaintiffs,) Civil Action No. 16-40-LPS
)
v.)
)
WOCKHARDT BIO AG and)
WOCKHARDT USA LLC,)
)
Defendants.)
)

McCARTER & ENGLISH, LLP

/s/ Daniel M. Silver

Michael P. Kelly (No. 2295)
Daniel M. Silver (No. 4758)
Renaissance Centre
405 N. King Street, 8th Floor
Wilmington, Delaware 19801
(302) 984-6300
mkelly@mccarter.com
dsilver@mccarter.com

*Attorneys for Plaintiffs
Novartis Pharmaceuticals Corporation
and Novartis AG*

OF COUNSEL:

David K. Barr
KAYE SCHOLER LLP
250 West 55th Street
New York, New York 10019
(212) 836-7560

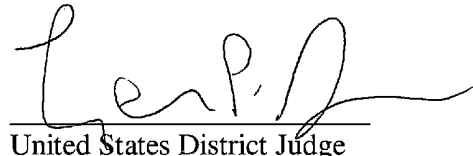
RICHARDS, LAYTON & FINGER, P.A.

/s/ Kelly E. Farnan

Kelly E. Farnan (#4395)
Christine D. Haynes (#4697)
One Rodney Square
920 N. King Street
Wilmington, DE 19801
(302) 651-7700
farnan@rlf.com
haynes@rlf.com

*Attorneys for Defendants Wockhardt Bio AG
and Wockhardt USA LLC*

SO ORDERED:



United States District Judge

Dated: December 20, 2016
Wilmington, Delaware